NEVADA OCCUPATIONAL SAFETY AND HEALTH

REVIEW BOARD

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6 CHIEF ADMINISTRATIVE OFFICER
OF THE OCCUPATIONAL SAFETY AND
7 HEALTH ADMINISTRATION, DIVISION

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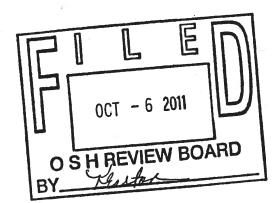
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Docket No. RNO 12-1516



Complainant,

vs.

OF INDUSTRIAL RELATIONS OF THE DEPARTMENT OF BUSINESS AND

HOLLAND WATERPROOFING,

Respondent.

DECISION

This matter having come before the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD at a hearing commenced on the 10th day of November 2010, in furtherance of notice duly provided according to law, MR. JOHN WILES, ESQ. and MR. MICHAEL TANCHEK, ESQ., counsel appearing on behalf of the Complainant, Chief Administrative Officer of the Occupational Safety and Health Administration, Division of Industrial Relations (OSHA); and MR. DALE HOLLAND, President, appearing on behalf of Respondent, HOLLAND WATERPROOFING; the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD finds as follows:

Jurisdiction in this matter has been conferred in accordance with Chapter 618 of the Nevada Revised Statutes.

The complaint filed by Nevada OSHA sets forth allegations of violation of Nevada Revised Statutes as referenced in Exhibit "A",

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DIR LEGAL CARSON CITY OFFICE attached thereto.

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Citation 1, Item 1, charges violation of a 29 CFR 1910.134(c)(2)(ii). The complainant alleged the respondent employer failed to provide a medical evaluation prior to employee use of a respirator device. The violation was classified as "Serious". The proposed penalty for the alleged violation is in the amount of ONE THOUSAND FIVE HUNDRED SIXTY DOLLARS (\$1,560.00).

Prior to commencement of the hearing, complainant withdrew Citation 2, Item 1 referencing 29 CFR 1910.134(f)(2) and Citation 2, Item 2 referencing 29 CFR 1910.1200(g)(1).

Complainant and respondent stipulated to the admission of evidence at Exhibits 1 through 4.

Complainant presented testimony and documentary evidence with regard to the alleged violations. Ms. McLaughlin-Galleron, an OSHA Industrial Hygienist ("IH"), testified as to her inspection and the citation issued to the employer.

Ms. McLaughlin-Galleron conducted an inspection of respondent's worksite at the Kennamental Inc. plant located in Fallon, Nevada on June 10, 2011. An anonymous complaint had been reported to Nevada OSHA involving confined space procedures, sandblasting, and inappropriate respirator equipment. However at the time of the inspection, work subject of the complaint was not being performed. The respondent foreman, Mr. Curren, informed the IH that he had not been present for any previous sandblasting work. Accordingly, there was no ability to observe the working conditions subject of complaint or conduct an inspection relating to utilization of respirator equipment.

Ms. McLaughlin-Galleron testified that during the "walk around" portion of the inspection she observed a 3M half-mask respirator in the

She obtained information that the respirator belonged to work area. respondent employee Sergio Mariscal. The employee indicated that he had used the respirator in the morning when operating a blower. The IH determined the dust mask type of respirator was appropriate for the work task Mr. Mariscal described. During the course of her interview with Mr. Mariscal, the IH was informed that he did not recall ever having been subjected to a medical evaluation or fitted by his employer for respirator use. After making inquiry of the employer and visiting the business office in Sparks, Nevada, Ms. McLaughlin-Galleron obtained a copy of the employer's written respiratory protection program. was no evidence of employee Mariscal's medical evaluation nor a fit test ever having been provided. IH McLaughlin-Galleron testified she had been informed that employee Mariscal brought the respirator from home and was not required to utilize same by the employer. Notwithstanding the foregoing, the IH referenced the applicable standard and determined it must be enforced if any employee on a worksite is utilizing a respirator without having had a medical evaluation or a fit test for She testified the employer foreman was aware employee Mariscal was utilizing a respirator type face mask that he had brought from home. She further confirmed other employees were aware of same based upon her interviews.

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On direct examination as to the classification of serious, Ms. McLaughlin-Galleron testified that the violation could result in death or serious bodily harm and any impairment could be temporary or permanent. The IH testified she has been given training knowledge of death or serious injury occurring from this type of violation. She provided no additional evidence or documentation to establish the violative conduct to be of a serious nature but reaffirmed her training

and experience indicated a potential for same.

IH McLaughlin-Galleron testified to penalty calculations which she referenced as having been assessed in furtherance of the enforcement manual. She testified that she used a "medium severity" reference for the calculations based upon the facts she discovered and her opinion. She rendered credits for the employer size and other factors as applicable which reduced the penalty to that proposed in the amount of ONE THOUSAND FIVE HUNDRED SIXTY DOLLARS (\$1,560.00). She further testified that the employer was not required to maintain a safety program due to its size.

On cross-examination Ms. McLaughlin-Galleron testified she observed no other employees working with or in possession of employer provided respiratory protection. She found no direct evidence of "use" of respirators on the site, and stated she "gathered" employee Mariscal was using the device while working from her interviews with other employees and his own responses to her during the inspection. She admitted her testimony on potential for death was anecdotal and that she had no personal knowledge of actual death occurring under the facts presented.

Counsel and respondent representative both provided closing arguments. Complainant argued that the reasons for medical evaluations for respiratory use are well supported in codified occupational safety and health law. He asserted there was no evidence or testimony that contradicted the facts of violation as provided by Industrial Hygienist McLaughlin-Galleron. There was no employer record of employee Mariscal having been tested or given a medical evaluation prior to the violation, although the employer did follow through with an evaluation after the citation. Counsel argued the complainant met its burden of proof under the applicable law to demonstrate a violation of the cited standard.

Respondent provided closing argument asserting employee Mariscal was not required to utilize a face mask respirator for his job task nor provided one by the employer. The employee simply brought a dust mask type respirator from home just for his own personal convenience. He argued the law did not intend to enforce the cited standard against an employer under the facts presented. The respondent asserted a lack of applicability of the standard to the subject facts in evidence. further argued that since the employer did not provide the respirator, there was no requirement for a medical evaluation or a fit so there could be no violation of the standard. Respondent asserted there was no employer knowledge of respirator use because the employer was not required to wear a mask for his job task therefore no violation of the standard. Respondent argued that the bureaucratic misapplication of the cited OSHA standard demonstrated the burden government places upon businesses with laws that make no sense or have any practical relationship to a working environment.

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In reviewing the testimony, exhibits, and arguments of counsel, the board is required to measure same against the elements to establish violations under Occupational Safety & Health Law based upon the statutory burden of proof and competence of evidence.

In all proceedings commenced by the filing of a notice of contest, the burden of proof rests with the Administrator. (See NAC 618.788(1).

All facts forming the basis of a complaint must be proved by a preponderance of the evidence. See Armor Elevator Co., 1 OSHC 1409, 1973-1974 OSHD \$\frac{1}{16}\$,958 (1973).

To prove a violation of a standard, the Secretary establish (1) must the applicability of the standard, (2) the existence noncomplying οf conditions, (3) employee exposure or access, and (4) that the employer knew or with the exercise of reasonable diligence could have known of the violative condition. See <u>Belger Cartage Service</u>,

Inc., 79 OSAHRC 16/B4, 7 BNA OSHC 1233, 1235, 1979
CCH OSHD \$\Pi23,400, p.28,373 (No. 76-1948, 1979);
Harvey Workover, Inc., 79 OSAHRC 72/D5, 7 BNA OSHC
1687, 1688-90, 1979 CCH OSHD 23,830, pp. 28,908-10
(No. 76-1408, 1979); American Wrecking Corp. v.
Secretary of Labor, 351 F.3d 1254, 1261 (D.C. Cir.
2003).

A respondent may rebut allegations by showing:

- The standard was inapplicable to the situation at issue;
- The situation was in compliance; or lack of access to a hazard. See, <u>Anning-Johnson Co.</u>, 4 OSHC 1193, 1975-1976 OSHD ¶ 20,690 (1976).

The voluntary use of a face mask respirator by an employee at a worksite, notwithstanding a lack of any employer requirement to do so or a job task that required same represents a difficult case for both enforcement and review. These unusual circumstances require reasonable application of the standards, however the parameters for review of alleged violations by this board must be subject to the governing law. The board is empathetic to the position of a small employer and understanding of the facts where an employee elects to utilize personal protection based upon his own comfort and convenience, however the board must apply the established law to the facts, evidence and arguments.

The cited standard as codified is applicable to the facts in evidence. Employee Mariscal was utilizing a face mask respirator on the job site while working for the respondent employer. Non-complying conditions were established under the sworn testimony of Industrial Hygienist McLaughlin-Galleron and not subject to any sworn testimony in rebuttal. No medical evaluation or fit test was provided by the employer. Employee exposure through access to potential hazardous conditions was demonstrated because there was a mask on site, it was utilized by an employee, and there was simply no medical evaluation

performed. Employer knowledge of the violative conditions is imputed under the governing law to the employer when a supervisor knew or with reasonable diligence could have known of the violative conditions. See Division of Occupational Safety and Health v. Pabco Gypsum, 105 Nev. 371, 775 P.2d 701 (1989). The job site was not large, the number of employees was limited, and the foreman clearly could have known, with the exercise of reasonable diligence, that employee Mariscal was wearing a mask notwithstanding a lack of any requirement for his job task. The foreman, acting in the place of the respondent owner, should have challenged the employee for wearing a non-required mask which had not been subject of the OSHA requirements for fitting, testing and medical evaluation.

Based upon the facts and applicable law, the violation must be confirmed.

The classification of the violation as **serious** must reviewed under the terms of applicable Nevada law. NRS 618.625 provides in pertinent part:

". . . a serious violation exists in a place of employment if there is a substantial probability that death or serious physical harm could result from a condition which exists, or from one or more practices, means, methods, operations or processes which have been adopted or are in use in that place of employment unless the employer did not and could not, with the exercise of reasonable diligence, know of the presence of the violation."

The board finds insufficient proof to support classification of the violation as "serious". The facts in evidence do not demonstrate a "substantial probability" that death or serious physical harm could result due to the subject employee failing to have been given a medical evaluation for use of a simple face mask or dust mask prevention device not required for his employment. At Exhibit 1, pages 5 through 6 in

evidence, the IH determined the severity at Medium (M), probability at Lesser (L) and the gravity at 05. The conditions, facts and evidence involved in this violation, coupled with the unusual circumstances in the voluntary use of a common face or dust mask by an employee do not meet the burden of proof to confirm a serious violation. However the board finds substantial evidence for reclassification of the violation as "other than serious".

"Where the Secretary alleges but fails to prove the seriousness of a violation, a non-serious violation generally will be found. <u>A.R.A. Mfg., 11 OSH Cases 1861, 1863-64 (Rev. Comm'n 1984)</u>. Rabinowitz, Occupational Safety and Health Law, 2008, 2nd Ed., page 225."

The board finds, as a matter of fact and law, that a violation did occur as to Citation 1, Item 1, 29 CFR 1910.134(c)(2)(ii). The violation is reclassified from "Serious" to "Other". The proposed penalty is reduced based upon the evidence to ONE THOUSAND DOLLARS (\$1,000.00) reasonable.

It is the decision of the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD that a violation of Nevada Revised Statutes did occur as to Citation 1, Item 1, 29 CFR 1910.134(c)(2)(ii). The violation is reclassified from "Serious" to "Other". The proposed penalty is reduced to ONE THOUSAND DOLLARS (\$1,000.00) is confirmed.

The Board directs counsel for the complainant, CHIEF ADMINISTRATIVE OFFICER OF THE OCCUPATIONAL SAFETY AND HEALTH ENFORCEMENT SECTION, DIVISION OF INDUSTRIAL RELATIONS, to submit proposed Findings of Fact and Conclusions of Law to the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD and serve copies on opposing counsel within twenty (20) days from date of decision. After five (5) days time for filing any objection, the final Findings of Fact and Conclusions of Law shall be

submitted to the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD by prevailing counsel. Service of the Findings of Fact and Conclusions of Law signed by the Chairman of the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD shall constitute the Final Order of the BOARD.

DATED: This 6th day of October 2011.

NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD

By /s/ JOE ADAMS, CHAIRMAN